

09-07-00

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09/05/00  
1c922 U.S. PTO

ATTORNEY'S DOCKET NO.  
021768.1091

PATENT APPLICATION

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APPLICATION FOR U.S. PATENT UNDER 37 C.F.R. § 1.53(b)  
TRANSMITTAL FORM

1c759 U.S. PTO  
09/655256  
09/05/00

Box Patent Application  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the utility patent application of:

Inventors or Application Identifier:

JEFFREY T. MINNIG et al.

Entitled: SYSTEM AND METHOD FOR SECURE DUAL CHANNEL  
COMMUNICATION THROUGH A FIREWALL

Enclosed are:

1. ☒ This Fee Transmittal Form.
2. ☒ Specification (31 Total Pages).
3. ☒ Drawings ( Total Sheet(s) of 4 formal).
4. ☒ Combined Declaration and Power of Attorney (4 Total Pages)  
☒ Newly executed (original or copy)  
☐ Copy from a prior application  
(for continuation/divisional only)
5. ☐ Priority of foreign Application No. \_\_\_\_\_,  
filed on \_\_\_\_\_ is claimed under 35 U.S.C. §  
119.  
☐ A certified copy is attached.  
☐ A certified copy was filed in prior Application Serial  
No. \_\_\_\_\_, filed \_\_\_\_\_.
6. ☒ An Assignment of the invention to Sterling Commerce,  
Inc. is attached. A separate cover sheet in compliance with 37  
C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal  
fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h).

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☐ The prior application is assigned of record to \_\_\_\_\_, a \_\_\_\_\_ corporation, at reel \_\_\_\_\_, frame \_\_\_\_\_ in the U.S. Patent and Trademark Office records, and was recorded on \_\_\_\_\_.

7. ☐ Information Disclosure Statement (IDS) PTO-1449  
☐ Copies of IDS Citations.  
☐ Pursuant to 37 C.F.R. § 1.98(d), copies of these references are not being furnished.

8. ☐ Preliminary Amendment

9. ☒ Certificate of Mailing

10. ☒ Return Receipt Postcard

11. ☐ Other: \_\_\_\_\_

12. Applicant is:

☒ Large Entity

☐ Small Entity

☐ Small Entity Statement enclosed

☐ Small Entity Statement filed in prior application.

Status still proper and desired.

13. The accompanying application is:

☒ Original

☐ Continuation

☐ Divisional

☐ Continuation-In-Part (CIP)

of prior application No. \_\_\_\_\_, filed \_\_\_\_\_ which is hereby incorporated by reference therein.

CLAIMS AS FILED IN THE APPLICATION

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee
					\$690.00
Total Claims:	21	- 20 =	1	X \$18 =	\$18.00
Independent Claims	9	- 3 =	6	X \$78 =	\$468.00
TOTAL FILING FEE =					\$1,176.00

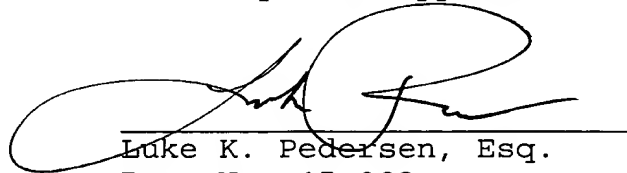
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14. [X] Enclosed is a check in the amount of \$1,176.00 to satisfy filing fee requirements under 37 C.F.R. § 1.16. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants



\_\_\_\_\_  
Luke K. Pedersen, Esq.  
Reg. No. 45,003  
Date: September 5, 2000

Correspondence Address:

Terry J. Stalford, Esq.  
Baker Botts L.L.P.  
2001 Ross Avenue  
Dallas, Texas 75201-2980  
Telephone: (214) 953.6477  
Facsimile: (214) 661.4477

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jeffrey T. Minnig et al.  
Date Filed: September 5, 2000  
Title: SYSTEM AND METHOD FOR SECURE DUAL  
CHANNEL COMMUNICATION THROUGH A  
FIREWALL

**BOX PATENT APPLICATION**

Honorable Assistant Commissioner

For Patents

Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application (31 pages), Declaration and Power of Attorney, 4 sheets of formal Drawings, Assignment Recordation Cover Sheet and Assignment, Fee Transmittal, check in the amount of \$1,176.00 to cover the application filing fee, a check in the amount of \$40.00 for Assignment Recordation fee and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 5th day of September, 2000 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Willie Jiles*

Willie Jiles

Express Mail Receipt  
No. EL501045231US  
Attorney's Docket: 021768.1091